

Part C State Annual Performance Report (APR) for FFY 2009

Overview of the Annual Performance Report Development:

See Overview description in Indicator 1.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(# of infants and toddler birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
FFY 2009	.77%

Actual Target Data for FFY 2009: .53%

The actual numbers used in the calculation: **544/103,592 = .53%.**

544 is the Total number of children ages birth to 1 year reported receiving early intervention services in Arizona in accordance with Part C on December 1, 2009, and 103,592 is the birth to 1 yr population estimate for Arizona for 2009 reported by DAC/Westat in Table C-13 on the IDEA Data website.

The Arizona Early Intervention Program used the following data sources for completing this indicator:

- Arizona's December 1, 2009 Table 1, Report of Infants and Toddlers Receiving Early Intervention Services data reported to OSEP, submitted February 1, 2010;
- OSEP Table C-13. Percent of infants and toddlers receiving early intervention services Under IDEA, Part C, by Age and State: 2009; and
- The Arizona Department of Commerce 2000-2009 Composite Population Estimates by age and county.

Comparison to National Data:

	2007 Percentage of population served
National	1.03%
Arizona	.53%

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009

- Arizona's FFY 2009 actual child count data for infants under one year of age is .53 percent of the population. Arizona did not meet its target of .77 percent for the report year 2009-2010.
- Child find data were reviewed to identify regional or program trends that might account for the slippage. The analysis did not reveal any changes in referral, eligibility, or IFSP data that would account for slippage in this indicator.
- Analysis of statewide referral data by referral source clearly demonstrates that referrals of infants 0-1 continues to account for at least 30 percent of all referrals to AzEIP, yet children 0-1 account for only 10 percent of children counted by DES/AzEIP on December 1, 2009.
- Analysis of eligibility and IFSP data by age revealed that children 0-1 account for about 39 percent of all children who were evaluated, and 30 percent of all children who had initial IFSPs, yet children 0-1 accounted for only 10 percent of children counted as having an active IFSP on December 1, 2009. This would seem to point to an undercounting of children 0-1 in the annual child count.
- Overall, child count data collection continued to improve during 2008-2009. However, in the spring of 2010 it was determined that data entry for new children was not timely for one large partner agency, resulting in the exclusion of some newly eligible children in the 2009 child count taken on December 1, 2009. It is not known at this time what impact that had on this agency's 0-1 child count. The State's plan for correcting this issue is reflected in a) the improvement activity, "Conduct monthly review of submitted data for completeness and accuracy" listed below, and b) the improvement activities from Indicator 14 regarding data management, editing and validation, and analysis
- The possibility of undercounting of the December 1 count data is further evidenced by the fact that Arizona's cumulative count for October 1, 2008 to September 30, 2009 was 10,429. Assuming a full year 0-3 cohort population estimate of 421,465, Arizona served 2.47 percent of the 0-3 population of the state at some point between October 1, 2008 and September 30, 2009.
- Because the review of child find data did not reveal the underlying causes of the slippage in child counts, and because of the possibility of an undercount, further steps were taken to review child-count related data. Since the referral, eligibility and IFSP trends for 2008-2009 did not explain the 2009 child count slippage, the data processing code used to compile the 2009 child count was reviewed and analyzed to determine whether code changes may have resulted in an undercount. In November and December of 2009, AzEIP made data processing code changes, related to contract changes, database changes, and data requirements changes. The code review revealed two issues that did in fact lead to undercounting: one issue was related to initial IFSP dates, and the second issue was related to children who transferred between local early intervention programs. These two code issues resulted in some children with active IFSPs being excluded from the child counts.

Improvement Activities	Timelines	Status
Target public awareness to primary referral sources about referring infants as required by IDEA 2004.	December 2005 and ongoing	Public awareness activities continued throughout FFY 2009, including quarterly trainings to new Child Protective Services staff, and ongoing outreach to local referral sources by the AzEIP contractors.

Track and analyze data related to age of children found eligible for Part C compared to data related to age at referral.	December 2005 and ongoing	Data analyzed in December 2010
Work in collaboration with NICU nurses and discharge planning teams to: (i) ensure appropriate referrals with required documentation to determine eligibility; and (ii) to support families in the NICU with referral, eligibility, and/or initial IFSP development as appropriate.	July 2008 and ongoing	DES/AzEIP participated with community partners and AzEIP contractors receiving referrals on a grant focusing coordination with NICU staff in Maricopa county. Trainings were conducted and meetings held to establish protocol for referrals from NICUs to AzEIP.
Conduct monthly review of submitted data for completeness and accuracy.	January 2008 and ongoing	Implemented April 2008. Continues monthly.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010

Improvement Activities	Timelines	Resources
<p>Delete: Track and analyze data related to age of children found eligible for Part C compared to data related to age at referral</p> <p>Replace with: Collect, analyze and utilize public awareness and child find data (e.g., referral source data, child demographics, public awareness materials) to guide efforts.</p> <p>Justification: The replacement improvement activity is inclusive of a broader range of data collection and analysis and provides a broader picture of child find.</p>	December 2011, June 2012, and June 2013	DES/AzEIP staff, TAMS and DES Division of Technology Services staff
<p>Delete: Target public awareness to primary referral sources about referring infants as required by IDEA 2004.</p> <p>Replace with: Develop and maintain collaborative partnerships with agencies and organizations that represent primary referral sources</p> <ul style="list-style-type: none"> • Parent organizations; • Early Head Start; • AZ Department of Education and Schools; • AHCCCS; • AZ Academy of Pediatrics; • Child Care; • DES, Division of Children, Youth 	<p>Ongoing</p> <p>Ongoing</p>	<p>AzEIP staff, TAMS, and ICC Committees</p>

APR Template – Part C (4)

Arizona
State

<ul style="list-style-type: none">and Families; and• First Things First. <p>Justification: The replacement improvement activity is more comprehensive.</p>		
New Improvement Activities	Timelines	Resources
Incorporated herein are the improvement activities from Indicator 14 regarding data management, editing and validation, and analysis.		